

# Multiscale partitioning of available potential energy in energetically consistent models

Rémi Tailleux

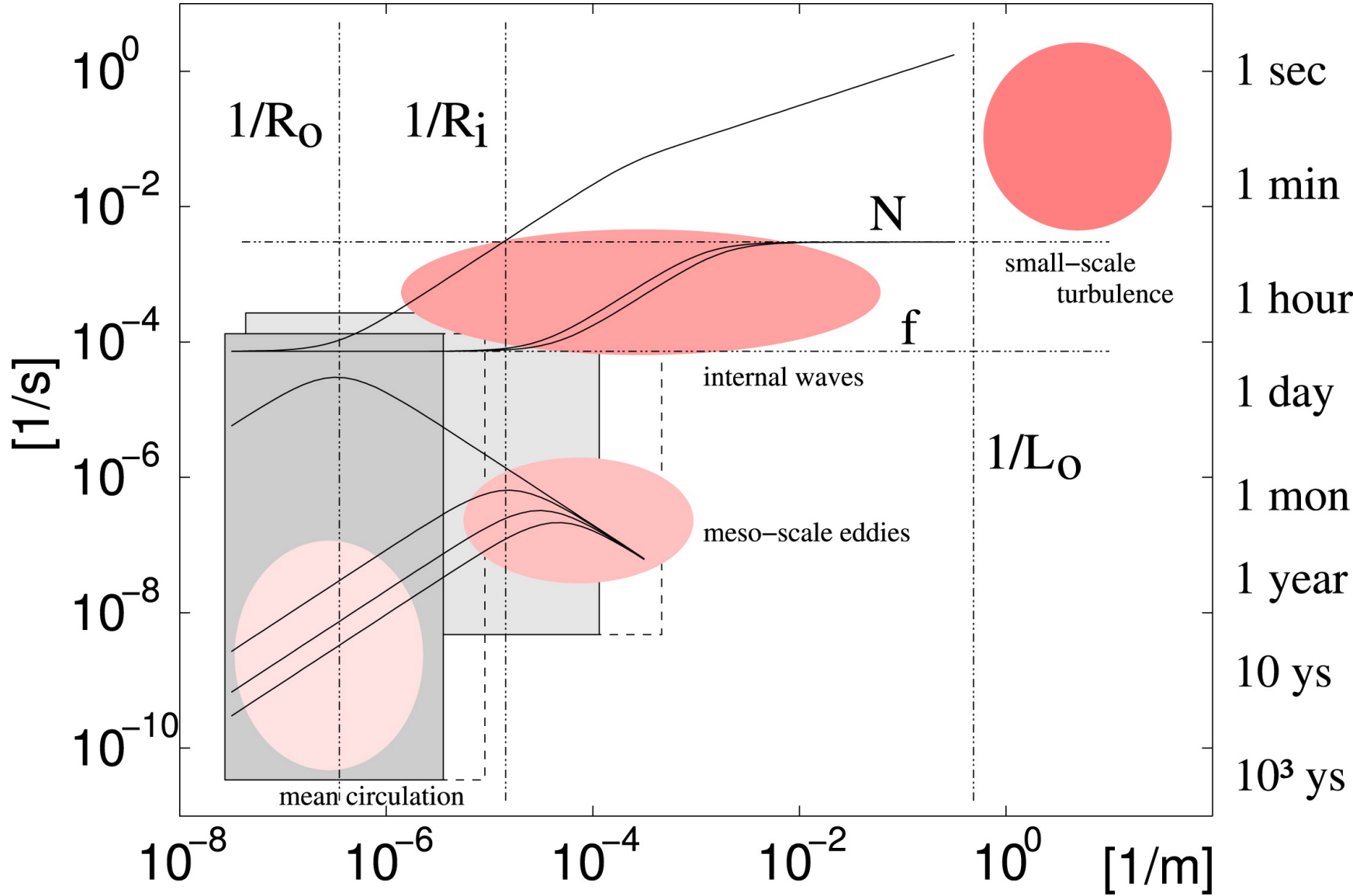
Energy Transfers Meeting, Hamburg, 4-7 April 2018

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# Energetically Consistent Ocean Models

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- Models with explicit description of energy transfers between resolved and unresolved energy reservoirs down to the dissipation scales
  - Main aim: Ensure that mixing coefficient have correct dependence on energy sources of stirring to ensure correct sensitivity to climate change
  - Questions & Challenges:
    - How to meaningfully partition potential energy, which is linear rather than quadratic in perturbations?
    - Are the energy pathways associated with turbulent diapycnal mixing correctly understood?
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# KINETIC ENERGY IS EASY, POTENTIAL ENERGY IS HARD

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We know how to partition kinetic energy into subcomponents, or to define kinetic energy spectra:

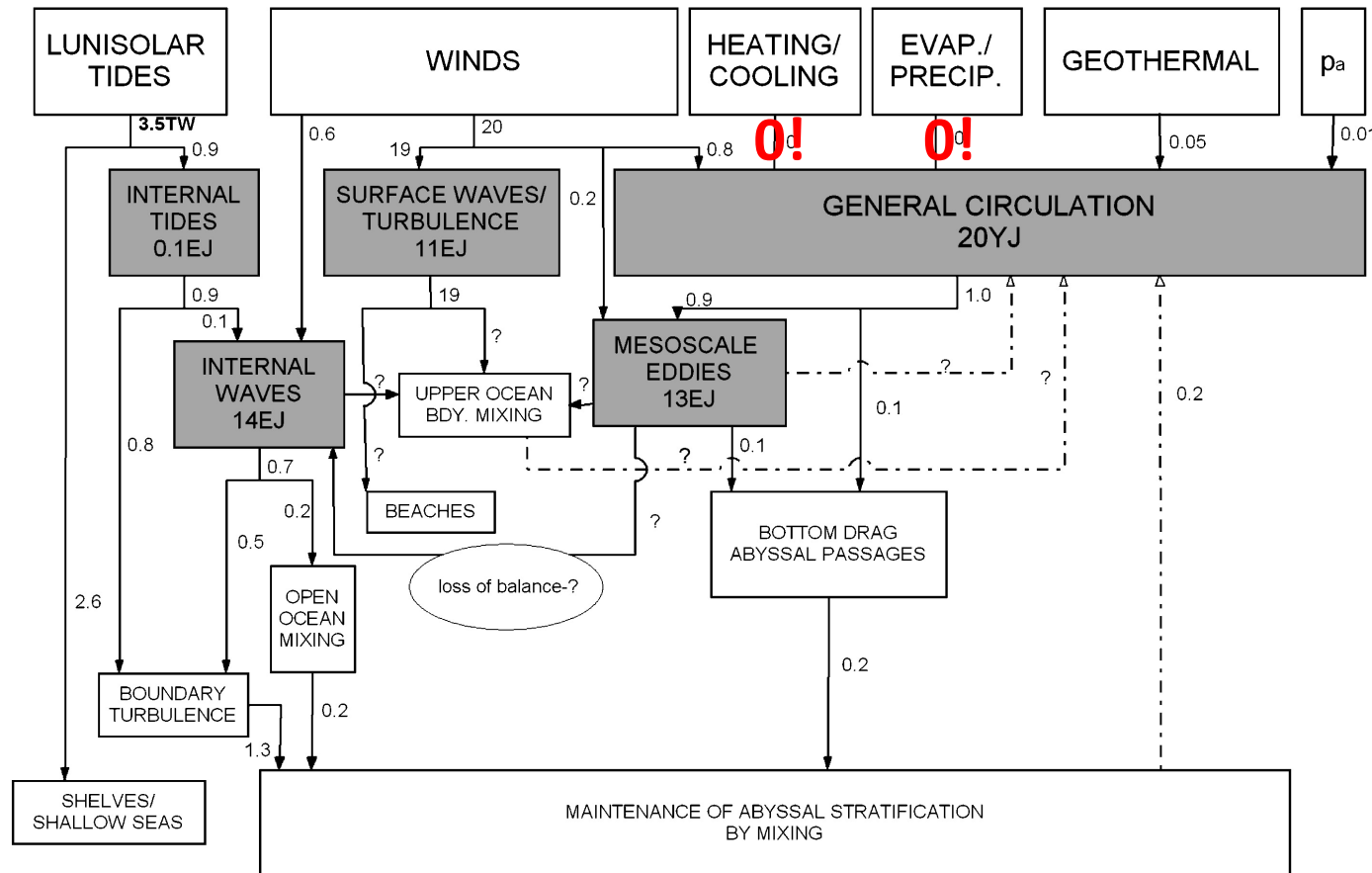
$$\frac{\mathbf{v}^2}{2} = \frac{\mathbf{v}_m^2}{2} + \frac{\mathbf{v}_e^2}{2} + \mathbf{v}_m \cdot \mathbf{v}_e$$

We know that potential energy can be partitioned into an available part and a background part, but we have struggled to produce meaningful partitioning into subcomponents or define spectra save for approximate expressions of APE

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Dangers of using only PE rather than APE,  
e.g., Wunsch & Ferrari (2004): you get something stupid!

**Buoyancy forcing does not supply any power to the ocean!**



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# OUTLINE

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- Main results from Local theory of available potential theory for a multicomponent compressible stratified fluid from Tailleux (JFM, Rapids 2018)
  - Recent results about background potential energy as the best definition of heat
  - The different flavours of heat, and the nature of Mixing and Available Potential Energy dissipation
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Making sense of potential energy,  
available potential energy and  
background potential energy

**Potential energy is the energy required to construct  
the stratification from scratch**

**(Scratch = Thermodynamic Equilibrium)**

# Effective potential energy

PV work due to environment

$$B = \phi(z) + e(S, \eta, p) + \frac{p_0(z)}{\rho(S, \eta, p)}$$

Geopotential Height =  $g z$

Internal Energy

In absence of viscous and diabatic effects:

$$\rho \frac{D}{Dt} \left( \frac{\mathbf{v}^2}{2} + B \right) + \nabla \cdot [(p - p_0) \mathbf{v}] = 0$$



# Main result of Tailleux (JFM Rapids, 2018)

$$\Pi = B - B_R \geq 0$$

**Effective potential energy  
minus reference value is  
naturally positive definite!**

## Small Amplitude Approximation

$$\Pi \approx \frac{(p - p_0(z))^2}{2 \rho^2 c_s^2} + \frac{N_R^2 (z - z_R)^2}{2}$$

Available Elastic  
Energy (AEE) Density

Available Potential  
Energy (APE) Density

## THEOREM ('Mean/Eddy' partition)

In the same way that kinetic energy can be decomposed exactly as

$$\frac{\mathbf{v}^2}{2} = \frac{\mathbf{v}_m^2}{2} + \frac{\mathbf{v}_e^2}{2} + \mathbf{v}_m \cdot \mathbf{v}_e$$

it is similarly possible to decompose APE density exactly as

$$APE \text{ density} = \Pi_m + \Pi_e + \delta\Pi$$

where the first two terms are positive definite, for arbitrarily defined mean and eddy reference density/pressure profiles

$$\Pi_e = \Phi(z) - \Phi(z_{rm}) + e(\eta, S, p) - e(\eta, S, p_{rm}) + \frac{p_m(x, y, z)}{\rho} - \frac{p_{rm}}{\rho_{rm}}, \quad (4.1)$$

$$\Pi_m = \Phi(z_{rm}) - \Phi(z_r) + e(\eta, S, p_{rm}) - e(\eta, S, p_r) + \frac{p_0(z_{rm})}{\rho_{rm}} - \frac{p_r}{\rho_r}, \quad (4.2)$$

$$\delta\Pi = \frac{p_0(z) - p_m(x, y, z)}{\rho} + \frac{p_m(x, y, z_{rm}) - p_0(z_{rm})}{\rho_{rm}}. \quad (4.3)$$

$p_0(z), \rho_0(z) = \text{Resting Reference State}$

$p_m(x, y, z), \rho_m(x, y, z) = \text{Non - Resting Reference State}$

## Interactions between mean, eddy and interaction energies

$$\rho \frac{D}{Dt} \left( \frac{\mathbf{v}^2}{2} + \Pi_e \right) + \nabla \cdot \{ [p - p_m(x, y, z)] \mathbf{v} \} = - \frac{\rho \mathbf{u} \cdot \nabla_h p_{rm}}{\rho_{rm}}, \quad (4.4)$$

$$\rho \frac{D\Pi_m}{Dt} + \nabla \cdot \left\{ \frac{\rho [p_{rm} - p_0(z_{rm})] \mathbf{v}}{\rho_{rm}} \right\} = \frac{\rho \mathbf{u} \cdot \nabla_h p_{rm}}{\rho_{rm}}, \quad (4.5)$$

$$\rho \frac{D(\delta\Pi)}{Dt} = \nabla \cdot \left\{ \left[ p_0(z) - p_m(x, y, z) + \frac{\rho (p_{rm} - p_0(z_{rm}))}{\rho_{rm}} \right] \mathbf{v} \right\}, \quad (4.6)$$

- Energy transfer between mean and eddy fields vanish for purely geostrophic reference state
- Volume integral of interaction potential energy vanishes

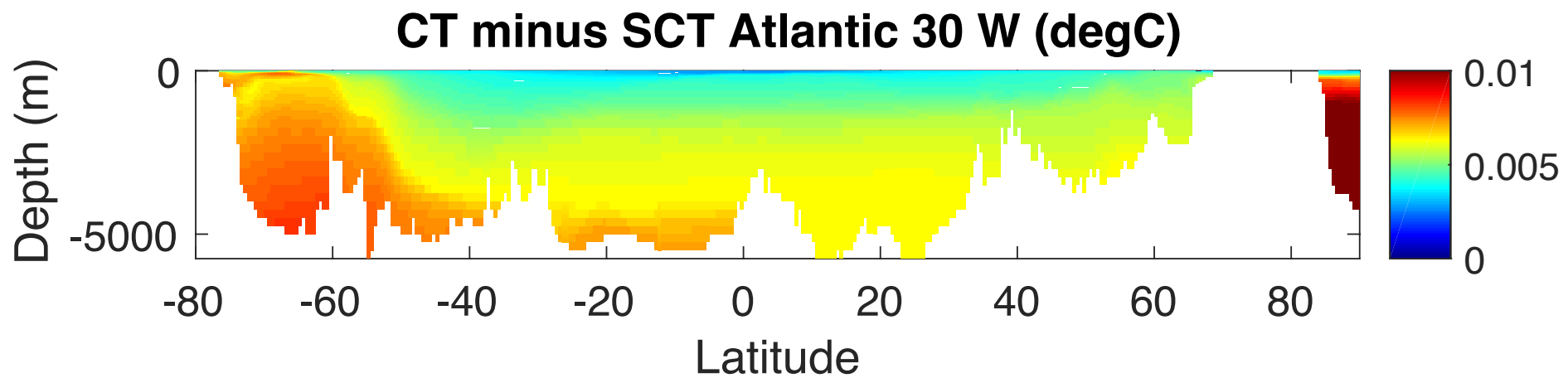
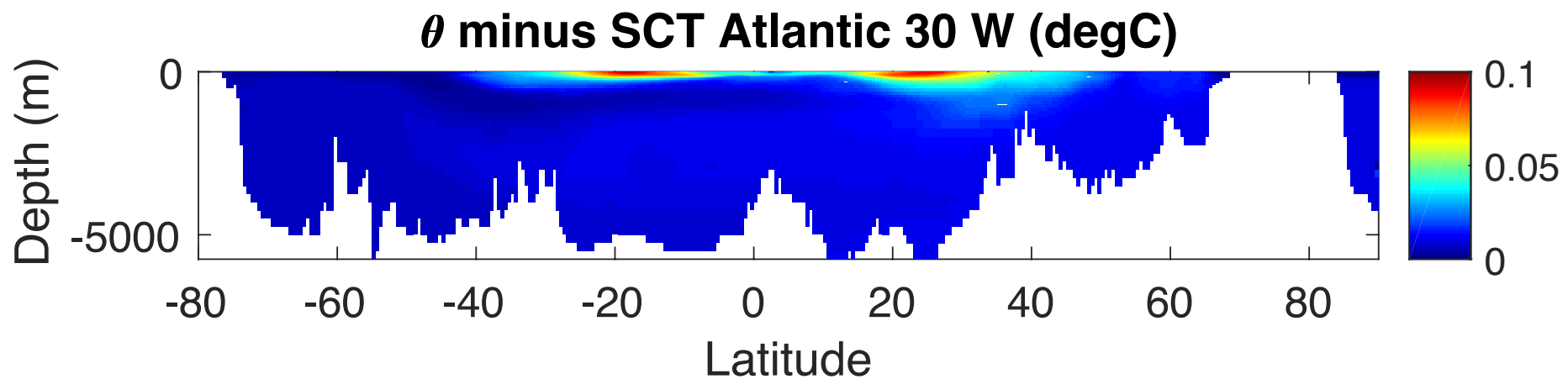
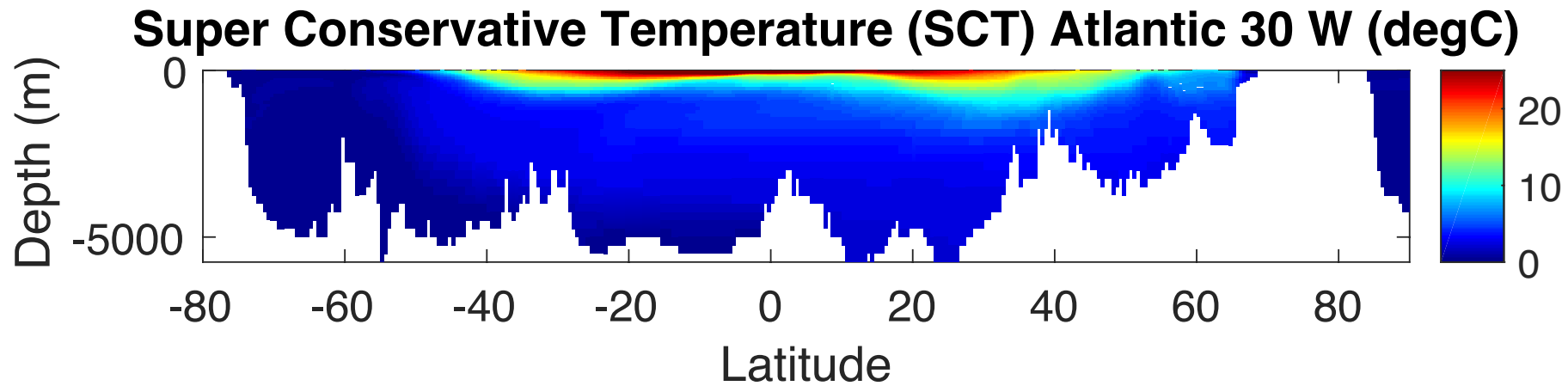
# Background Potential Energy $B_R$

Can be written as:

$$B_R = h(S, \eta, p_0(z_R)) + \phi(z_R)$$

Can be shown:

- To be more conservative than potential enthalpy/Conservative Temperature. In fact, it is the most conservative heat variable.
- Background potential energy is hence the natural definition of 'heat', and Available Potential energy the most natural definition of 'work'.



# Background Potential Energy BR (cont'd)

$$B_R \approx \frac{\partial \mu}{\partial S} \frac{(S - S_*(p_R))^2}{2} + \frac{c_p}{T_*} \frac{(T_R - T_*)^2}{2} + \int_{p_m}^{p_R} (v_*(p') - v_R(p')) dp' + T_* \eta + \mu_* S + \text{constant}$$

>0 Haline Exergy = Energy needed to construct salinity stratification

>0 Thermal Exergy = Energy needed to construct thermal stratification

<0 Loss in gravitational potential energy resulting from 'un-mixing' stratification

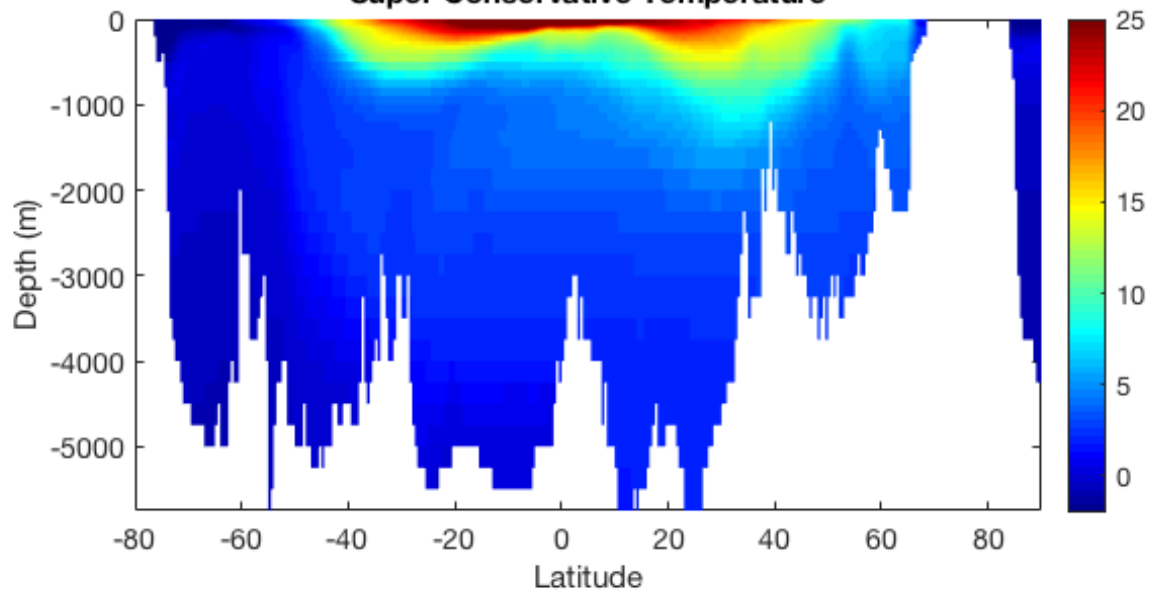
Dead Internal Energy = Internal energy of fluid at thermodynamic equilibrium

# Background Potential Energy BR (cont'd)

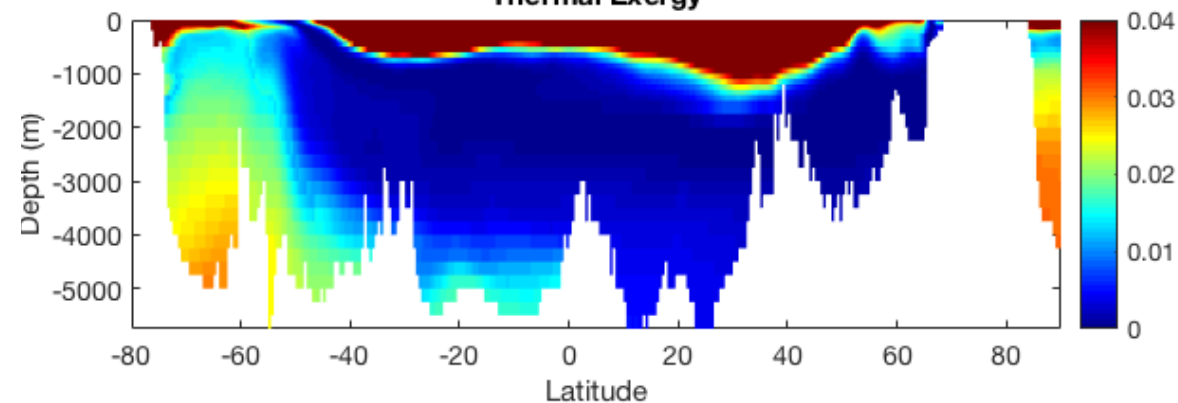
$$B_R \approx \frac{\partial \mu}{\partial S} \frac{(S - S_*(p_R))^2}{2} \quad 0 \text{ to } 0.04 \text{ C (40 mC)}$$
$$+ \frac{c_p}{T_*} \frac{(T_R - T_*)^2}{2} \quad 0 \text{ to } 1\text{C (0 to 0.04 C in the deep ocean)}$$
$$+ \int_{p_m}^{p_R} (v_*(p') - v_R(p')) dp' \quad 0 \text{ to } 0.03 \text{ C (30 mC)}$$
$$+ T_* \eta + \mu_* S + \text{constant} \quad -2 \text{ C to } 25 \text{ C}$$



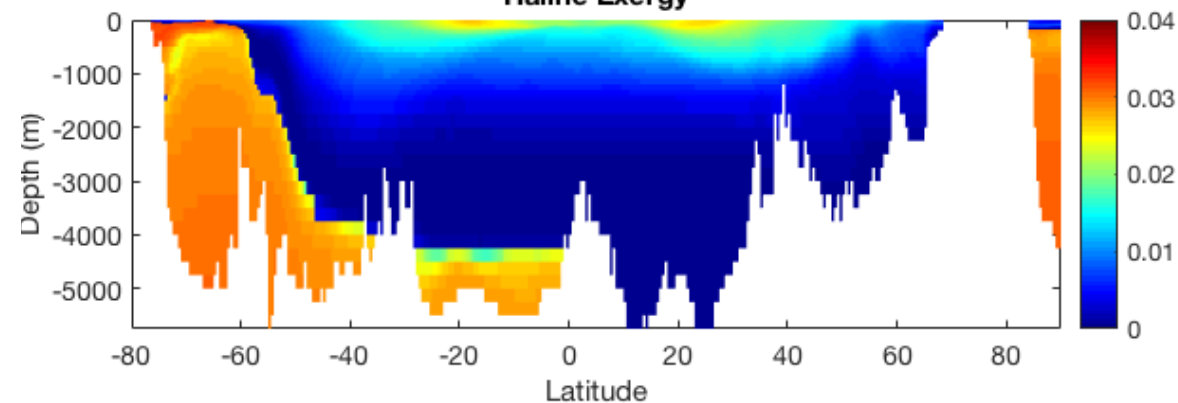
**Super Conservative Temperature**



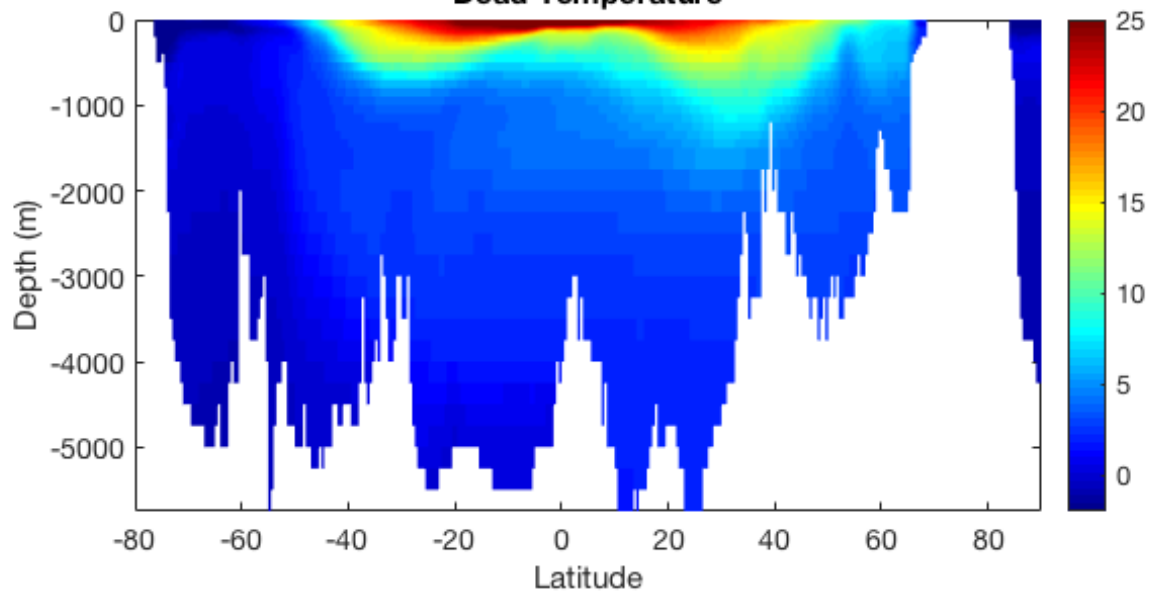
**Thermal Exergy**



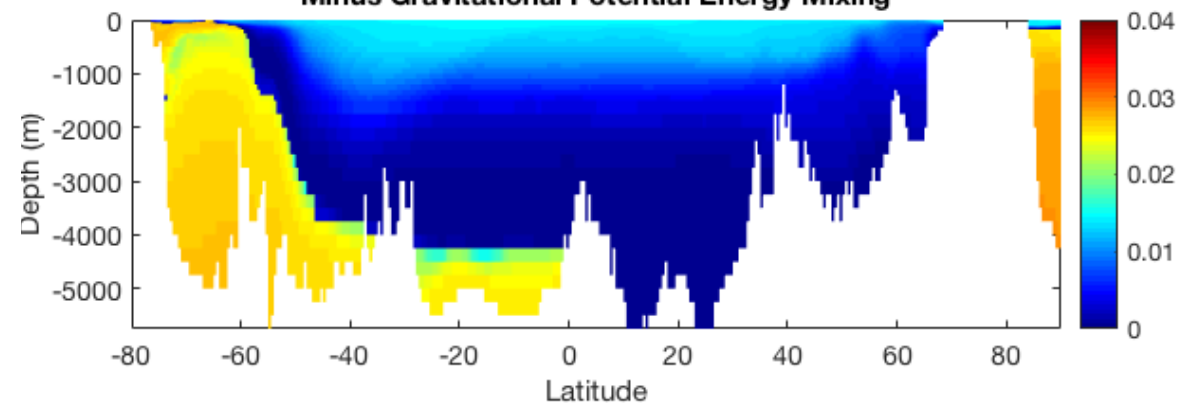
**Haline Exergy**



**Dead Temperature**

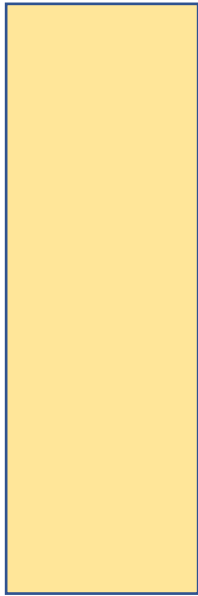


**Minus Gravitational Potential Energy Mixing**



# Thermodynamic transformations required to construct the oceanic stratification

Scratch =  
Thermodynamic  
Equilibrium



Dead Heat

Isothermal  
and isobaric  
redistribution  
of salt



Salinity variance  
Energy

Isohaline and  
isobaric  
heating/cooling



Temperature  
Variance energy

Adiabatic and  
isohaline  
displacement to  
reference  
pressure



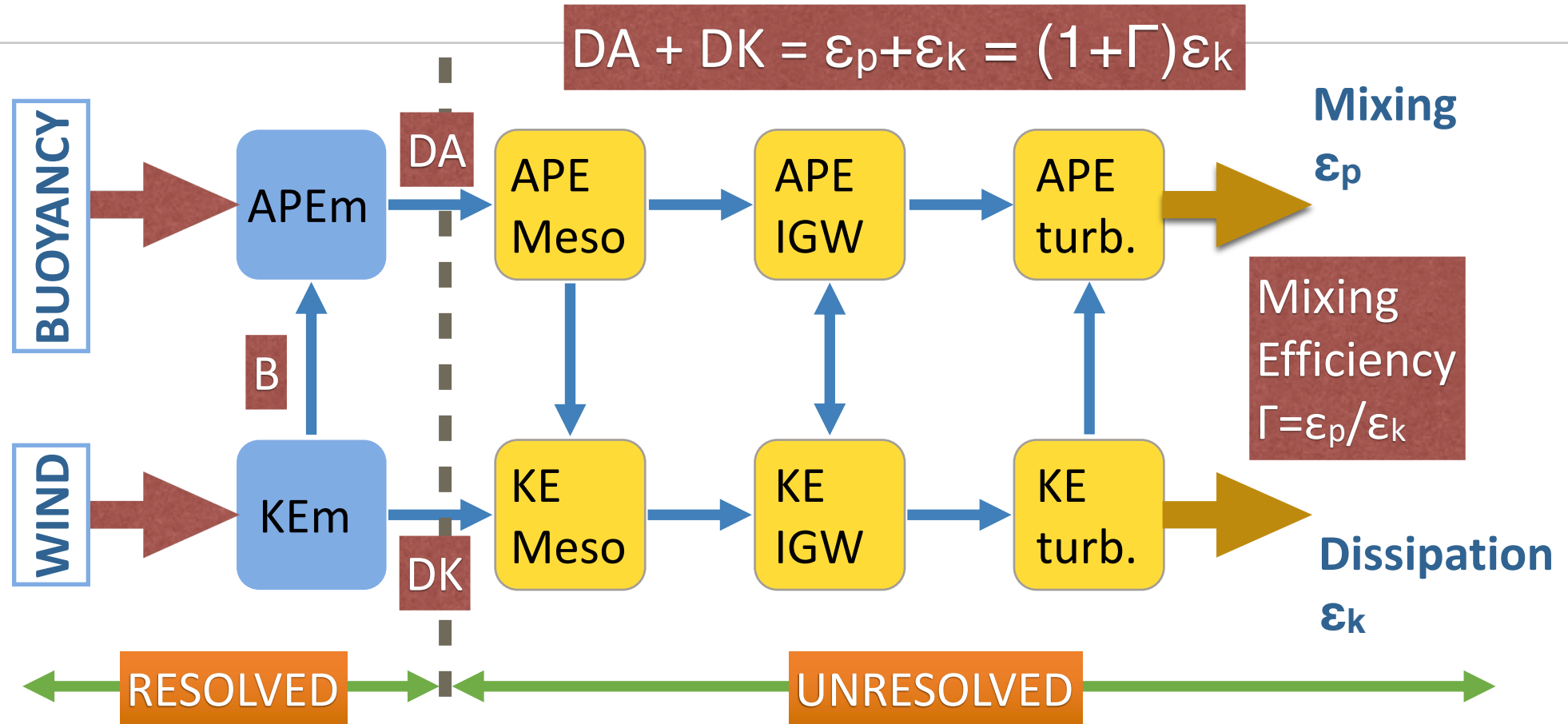
Available  
Potential  
Energy (APE)

Adiabatic/isohaline  
compression/  
expansion to actual  
pressure



Available Elastic  
Energy (AEE)

# Resolved and Unresolved Energy pathways - COARSE RESOLUTION MODELS



Available Potential Energy

Dissipation  $\varepsilon_p$

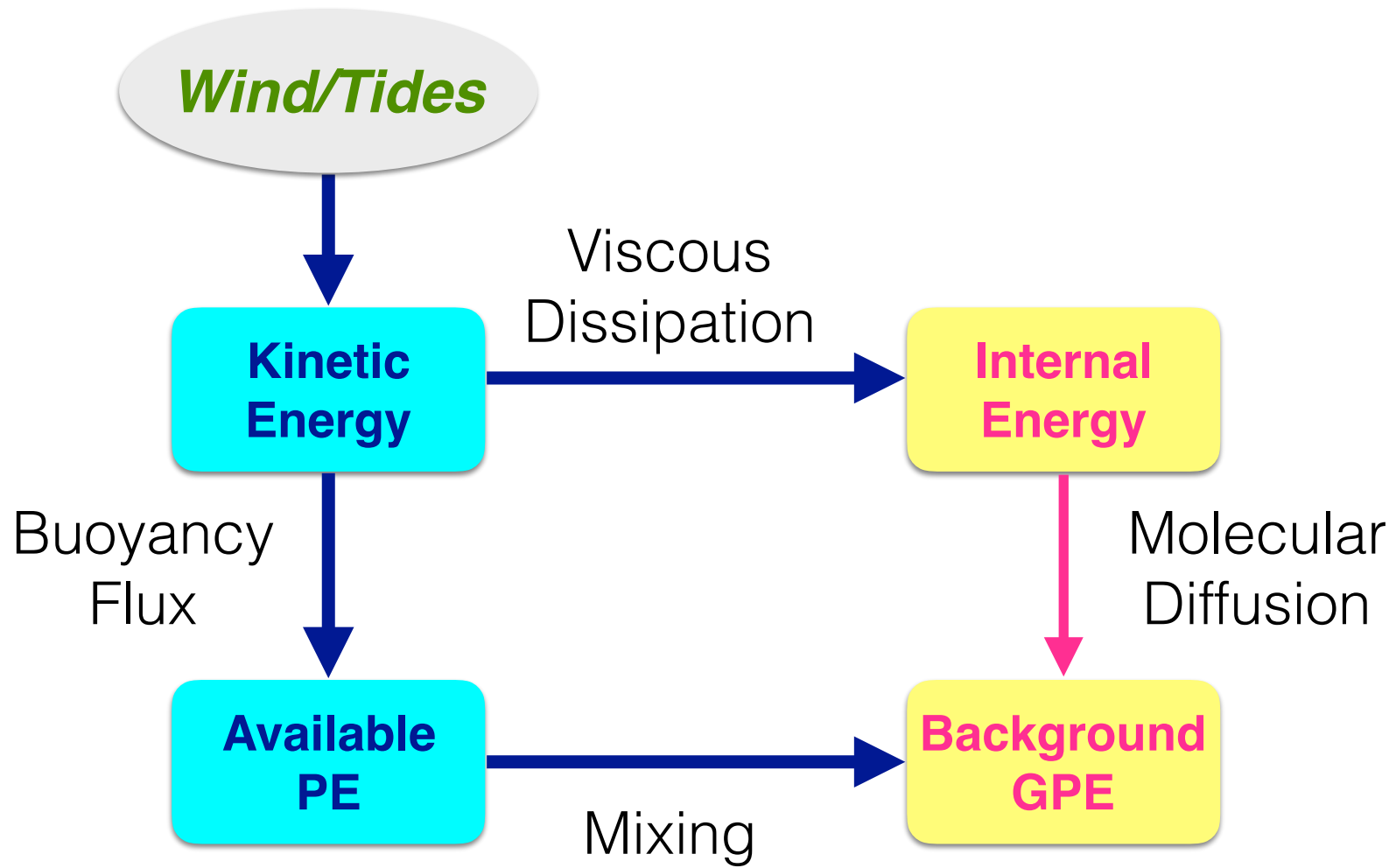
Reversible or Irreversible?

$$\varepsilon_p = K_v N^2 = \Gamma \varepsilon_K$$

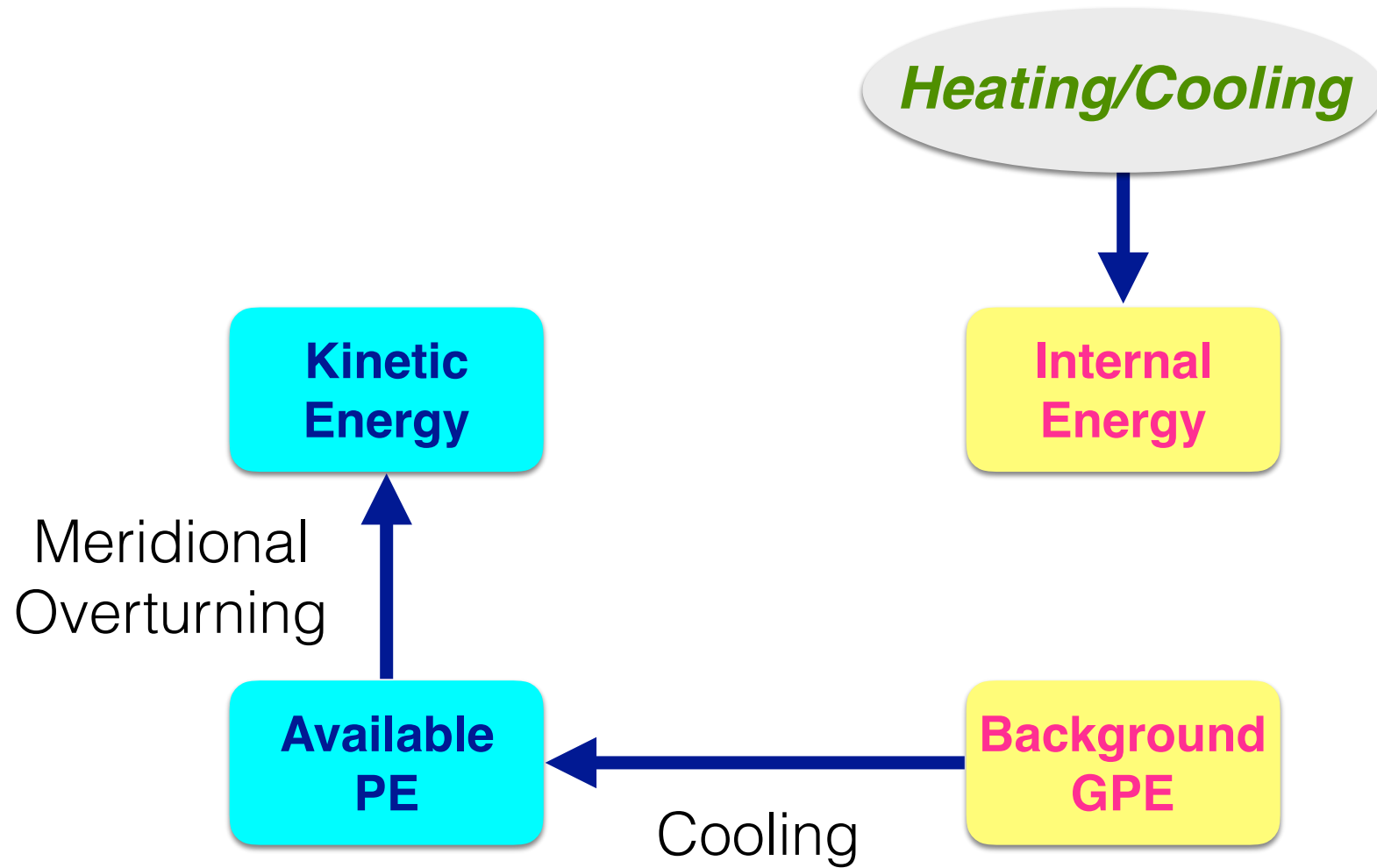
Turbulent diapycnal  
diffusivity

Mixing Efficiency

- Systematically referred to as an 'irreversible' energy conversion
- Yet, energy pathways for APE dissipation by Hughes et al. (2009) and Winters et al. (1995) describe a reversible energy conversion
- **Mixing converts APE into background gravitational potential energy, surface cooling converts it back to APE:  
The Pump/Valve mechanism**



(I) THE PUMP



**(II) THE VALVE**

some algebra yields:

$$\rho\dot{\Pi} = -\nabla \cdot (\rho\mathbf{J}_{\Pi}) - \rho\varepsilon_p, \quad (2.29)$$

where as usual, the reversible component of  $\rho\dot{\Pi}$  is the divergence term, whereas its irreversible component is  $-\rho\varepsilon_p$ . Here,  $\mathbf{J}_{\Pi}$  represents the diffusive flux of  $\Pi$ ,

$$\mathbf{J}_{\Pi} = (T - T_r)\mathbf{J}_{\eta} + (\mu - \mu_r)\mathbf{J}_s, \quad (2.30)$$

while  $\varepsilon_p$  is our sought-for expression for APE dissipation, given by

$$\varepsilon_p = -T_r \left[ \mathbf{J}_{\eta} \cdot \left( \frac{\nabla T}{T} - \frac{\nabla T_r}{T_r} \right) + \mathbf{J}_s \cdot \left( \frac{\nabla \mu}{T} - \frac{\nabla \mu_r}{T_r} \right) + \frac{\Upsilon \varepsilon_k}{T_r} \right]. \quad (2.31)$$

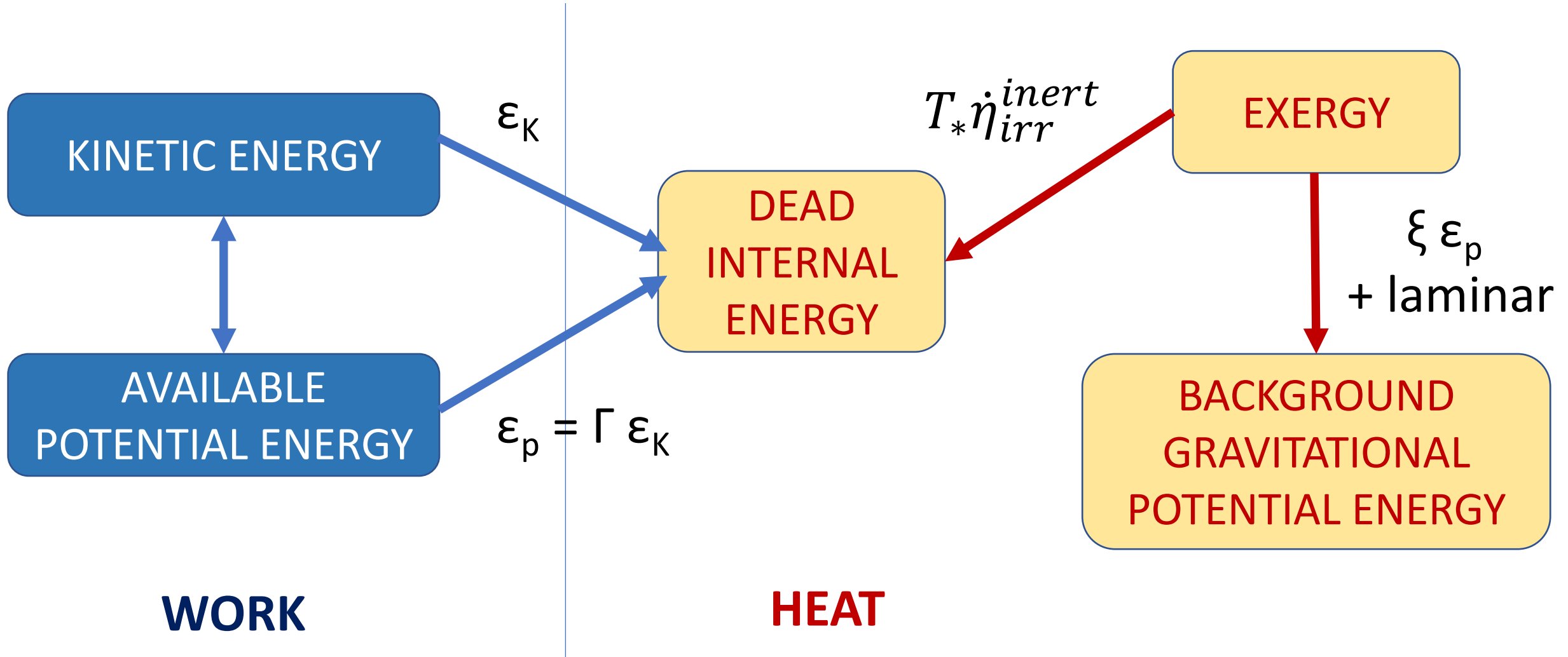
Eq. (2.31) generalises (1.1) previously obtained for a simple fluid by Tailleux (2013*b*); it also allows for the irreversible entropy production term (2.5) to be rewritten in the form:

$$\rho\dot{\eta}_{irr} = \underbrace{-\rho \left( \mathbf{J}_{\eta} \cdot \frac{\nabla T_r}{T_r} + \mathbf{J}_s \cdot \frac{\nabla \mu_r}{T_r} \right)}_{\rho\dot{\eta}_{irr}^{inert}} + \underbrace{\frac{\rho(\varepsilon_p + \varepsilon_k)}{T_r}}_{\rho\dot{\eta}_{irr}^{active}}. \quad (2.32)$$



# Actual Energy Pathways of turbulent mixing

$$\frac{\partial \mu}{\partial S} \frac{(S - S_*(p_R))^2}{2} + \frac{c_p}{T_*} \frac{(T_R - T_*)^2}{2}$$



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# Summary

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- Local theory of available potential energy naturally separates the potential energy into 'work' and 'heat' components
  - Both 'work' and 'heat' components can be interpreted in terms of the energy involved in the various well defined thermodynamic transformations leading to the oceanic stratification
  - Each positive definite component can itself be further partitioned into other positive definite components owing to additivity properties of thermodynamic potentials.
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# Summary cont'd

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- APE dissipated is an irreversible, not reversible, conversion of APE into dead internal energy.
  - Energetically consistent ocean models need not only correctly represent interactions between the 'work' reservoirs, they also need to correctly represent the related internal conversions between the different 'heat' reservoirs (Exergy, gravitational potential energy, and dead internal energy)
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# Postdoc position in Reading

To work on simple models of ocean heat uptake

with me, David Ferreira, Till Kuhlbrodt and Jonathan Gregory

Deadline: 23 May 2018

Interview date: 13 June 2018